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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Alexander Gaiger and Martin A. Cheever
Application No./Patent No.: 09/685,830 Filed/Issue Date: October 9, 2000
Entitled: COMPOSITIONS AND METHODS FOR WTI SPECIFIC IMMUNOTHERAPY

Corixa Corporation
(Name of Assignee)

A

corporation
(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached

2. From: _____ To: _____

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3. From: _____ To: _____

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Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2 May 2002
Date

Kathleen Nora McKereghan
Typed or printed name

Kathleen Nora McKereghan
Signature
**Senior Vice President, General Counsel
and Secretary**
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual
case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S.
Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
Commissioner for Patents, Washington, DC 20231.

Docket No. 210121.465C3
259787 [09-14-01]

ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, I,

Name	Post Office Address	
Martin A. Cheever	6210 Southeast 22 nd , Mercer Island, Washington 98040	,

(hereinafter referred to as ASSIGNOR), having a post office address as listed above next to my name, am a joint inventor (with Alexander Gaiger) of an invention entitled

COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/685,830, as filed in the United States Patent and Trademark Office on October 9, 2000 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/684,361	10/06/00		210121.465C2
09/276,484	03/25/1999		210121.465C1
09/164,223	09/30/1998		210121.465

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues

and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEES and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, transfer and sale not been made.

ASSIGNOR hereby authorizes and requests the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNOR agrees to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

Date 4/17/02 Signature of Martin A. Cheever
Date Signature of Martin A. Cheever

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Martin A. Cheever is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 17, 2002
Signature of Kelli J. Endreson
Notary Public
Printed Name Kelli J. Endreson
My appointment expires 12/20/2003

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